## **ANA and Antibody Testing in Systemic Sclerosis**

## **Edward S. Harris, MS**

The topic of this talk is ANA and antibody testing in systemic sclerosis. In addition to covering the basics of ANA testing, we will also examine the role of ANA and antibody testing in systemic ANA and Antibody Testing in sclerosis diagnosis and treatment. Systemic Sclerosis (SSc) When this presentation is posted on YouTube, there will be a link 1 to a handout version of the presentation that will include detailed notes that you can refer to later. One important disclaimer. Some of the information presented here is US focused and may not be completely applicable in other countries where different testing methods are routinely used. About seven years ago, I started writing a series of articles about ANA and antibody testing. What led to my doing this was frequently seeing two types of comments in patient support "Good news! Your ANA test was negative, groups that greatly concerned me. so you don't have an autoimmune disease. 2 I am going to refer you to a psychiatrist to This is the first type of comment. In many cases, when a clinician help you to understand all of these strange says something like this, correct diagnosis may be delayed for symptoms you are having." several vears. This type of comment is in some ways even more concerning. After the patient goes home in total shock, she will probably do a Google search for "diffuse scleroderma" where she will "learn" that "I am sorry to tell you that your ANA test she has a horrible, fatal disease and has only about five years to says that you have diffuse scleroderma. I 3 am going to refer you to a rheumatologist who can better help you deal with this rare By the end of this talk, my goal is for you to understand exactly disease." why both of these comments may be completely incorrect and should never be uttered by clinicians. Before we start learning about ANA and antibody testing, I want to emphasize that systemic sclerosis is a clinical diagnosis supported by lab tests, NOT the other way around. This is the most important slide in this entire presentation. Systemic Sclerosis is a clinical diagnosis 4 supported by lab tests, NOT the other way round. Antinuclear antibodies are a type of antibody that attack the nucleus of a cell. These types of antibodies are usually, but not always, present in autoimmune disorders such as lupus, Sjoegren's, or systemic sclerosis. Part I: ANA Testing – the Basics 5

Why do ANA / antibody testing for SSc? 6 correct diagnosis Correct antibody identification helps to understand likely disease risks and complications

Correctly done ANA testing is very helpful in formally diagnosing systemic sclerosis. More than 90% of patients will have a positive ANA when ANA testing is done correctly.

While sometimes very challenging to do, in most patients it is possible to identify the specific antibody that leads to a positive ANA result. As will be discussed shortly, correct antibody identification can be very helpful to the clinician by suggesting potential risks and complications, as well as having a role in developing the best possible treatment plan for the individual patient.

The "gold standard" method for doing ANA testing is called indirect immunofluorescence and is commonly abbreviated IFA or IIF. It is a time-consuming manual process. An ANA test done by IFA can detect the presence of up to 150 different antibodies but does not tell you which specific antibody or antibodies were detected.

The main two results of an ANA/IFA test are called Pattern and Titer. Pattern is the way antibodies appear on the slide and Titer is a measure of the level of antibodies in the blood. The higher the titer, the higher the likelihood that the result is significant. This is in part because a significant number of people in the general population, especially older people, have low positive ANA titers that do not appear to be associated with any disease. The titer number indicates the degree to which the patient's blood sample can be diluted and still produce recognizable staining.

In the US, initial testing is typically done with a dilution of 40 to 1 and is written as a two-part number such as 1:40. If no staining patterns are visible at this initial 40 to 1 dilution level, the ANA result is negative. However, if a staining pattern is seen, the dilution is doubled, and the technician again looks for a visible staining pattern. This means that possible ANA titers follow a higher ANA tiers are 1:80, 1:160, 1:320, 1:640, etc.

pattern sequence, always starting at 1:40 and then doubling, so

"My ANA doubled from 1:160 to 1:320! I am scared

ANA Testing by Indirect Immunofluouresence

**ANA Titers** 

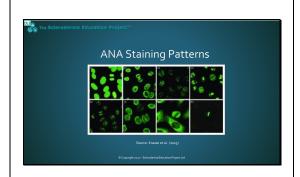
that my disease is getting much worse!'

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Patients post comments like this all the time in support groups. It is important to understand that normal testing variance for ANA titers is plus or minus one titer level. This means that if your "real" ANA titer is 1:160, ANA/IFA testing of the same blood sample is likely to sometimes be either 1:80 or 1:320 in addition to the "expected" 1:160 result.

In our example here, the 1:160 and 1:320 ANA titers are considered to be the same. If the ANA titer had changed from 1:80 to 1:640, that would be considered a significant change in titer level.



In addition to the ANA titer, a positive ANA/IFA also has a staining pattern. The four main types of staining patterns seen in systemic sclerosis patients include speckled, homogeneous, nucleolar, and centromere, and these are universally reported. While ANA staining patterns may suggest a possible type of autoimmune disease, in practice there is limited agreement among laboratories as to which additional ANA staining patterns should be identified and reported to clinicians.

Therefore, it is recommended (e.g., International Consensus on Antinuclear Antibody (ANA) Patterns / ICAP), that a positive ANA/IFA test should always be followed up by detailed, specific antibody testing. The exact type of antibody testing depends on the patient's symptom profile, so if the clinician suspects lupus, they should order a different antibody panel than if they suspect systemic sclerosis.

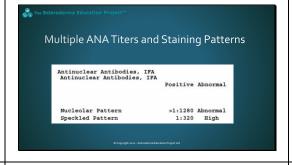
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One final point on ANA staining patterns. As noted earlier, ANA/IFA testing can detect the presence of up to 150 different antibodies. Of note, one staining pattern, centromere, is highly correlated with the presence of centromere antibodies. In fact, many research papers use a centromere staining pattern as sufficient criteria for indicating that the patient has centromere antibodies. However, some experts suggest that even with an ANA/IFA centromere staining pattern a clinical profile consistent with centromere antibodies, a follow-up centromere antibody test should be done to verify the staining pattern.

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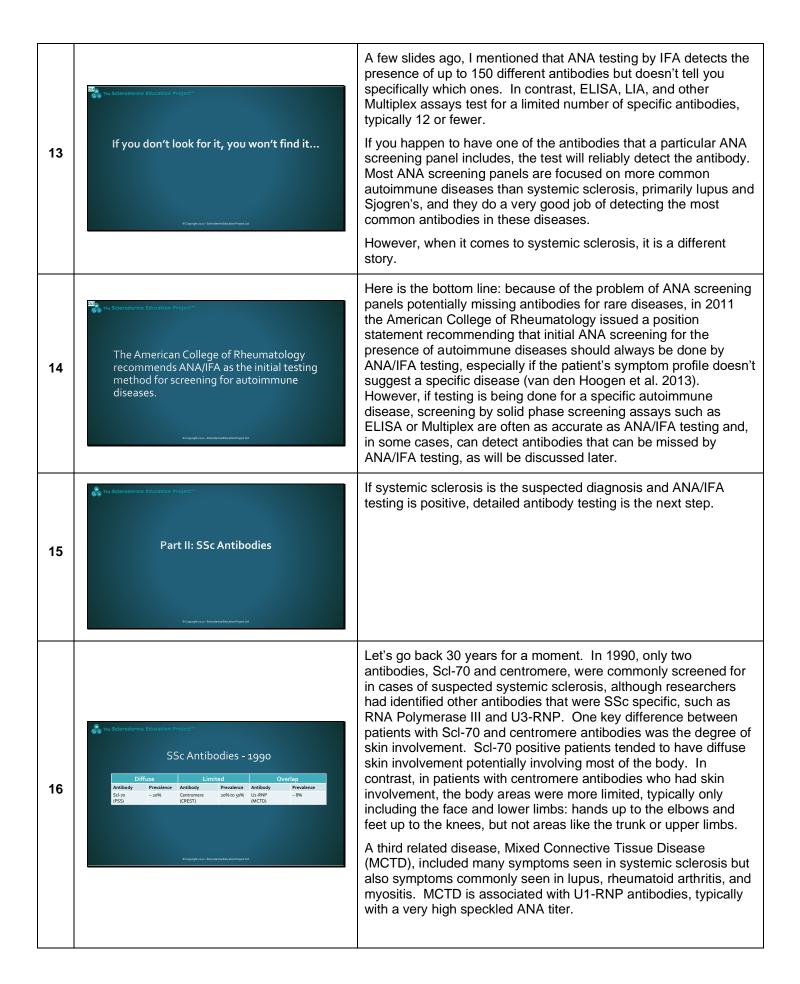


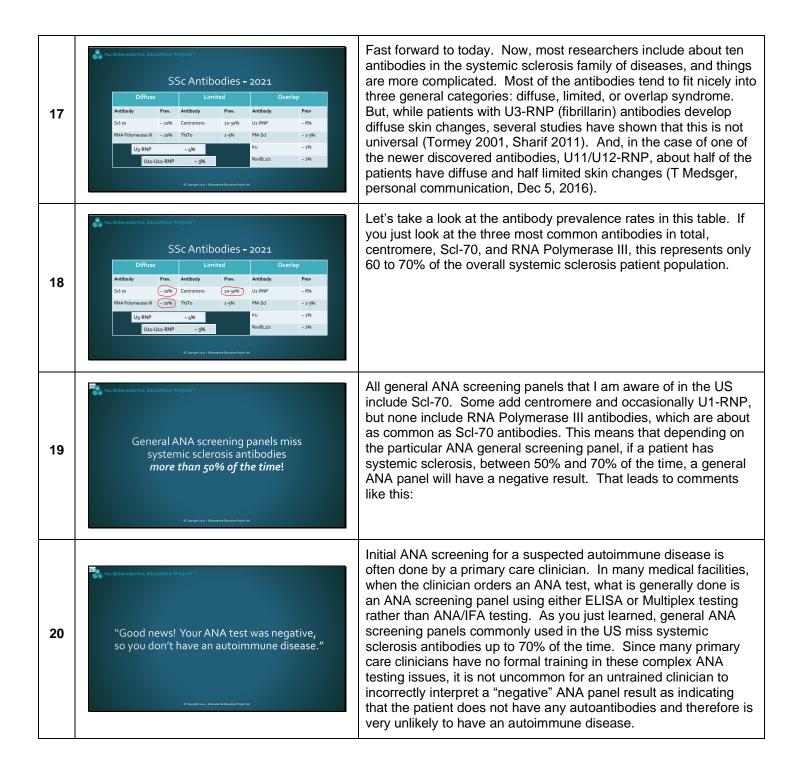
Just to complicate things, it is not uncommon to see ANA/IFA results showing two and occasionally three separate ANA titers and staining patterns, as in this example. What this means is that more than one autoantibody has been detected by the ANA/IFA test. Detailed antibody testing will often show which antibodies triggered this result.

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In recent years, the standard method of doing ANA testing has started to change. Three alternative ways of doing ANA testing are now commonplace: solid phase immunoassays (ELISA or EIA), line immunoassays (LIA), or a related technique known as a Multiplex bead array. These new methods are faster, cheaper, and are generally very accurate. Unfortunately, they also introduce significant major problems – especially for patients with systemic sclerosis.

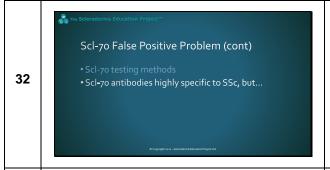




Over the years, we have learned that while patients vary widely on an individual basis, each antibody has its own unique clinical disease associations and specific risk profiles. This can be very important for managing patients. For example, patients with RNA polymerase III antibodies have a very high risk for developing SSc Antibodies - Clinical Associations scleroderma renal crisis (SRC), up to 35% in some studies. Because of this, these patients should monitor their blood pressure daily looking for a sudden spike in blood pressure that 21 persists for several hours, as a sudden spike can be a leading indicator of developing SRC. In addition, patients with RNA Polymerase III antibodies should generally not be treated with other than very low doses of prednisone, as higher doses can trigger scleroderma renal crisis. In contrast, prednisone is often used to treat patients with some of the overlap syndromes such as Mixed Connective Tissue Disease since the risks of developing SRC are very low. One question that systemic sclerosis patients are often confused by is whether there is any clinical significance to their ANA and antibody level, for example, does the fact that their ANA titer is 1:1280 mean that they have a more active disease than someone Part III: ANA and Antibody Levels else who has an ANA titer of 1:160. In reality, in many cases, it is 22 the exact opposite. If you do a Google search for the question on this slide, you will quickly find that respected resources such as Medscape indicate that in patients with systemic sclerosis, ANA titers are not at all correlated with disease activity or severity, thus there is usually no clinical need to repeat ANA and specific antibody testing once the levels have been established. While this may be true in general, at least in the case of ScI-70 antibodies, there are a few papers that suggest the opposite, although the research has been mixed. Is ANA Titer Correlated with SSc Disease A study published in 2003 (Hu et al. 2003) showed a positive 23 Activity or Severity Level? correlation between total skin thickness scores and antibody levels in a group of 11 patients with Scl-70 antibodies. They also found that when antibody levels changed in 8 of the 11 patients, the changes correlated with changes in skin thickness scores. A more recent much larger study (Hasegawa et al. 2013) also found significantly positive correlations between antibody levels and total skin thickness scores. Several other studies have also shown this same correlation with skin scores. However, antibody levels did not correlate with other measures of disease severity such as lung involvement.

Earlier, I mentioned that patients are sometimes concerned that since they have very high ANA titers, for example, 1:1280 or above, this might be a bad sign since many other people seem to have much lower ANA titers, often in the 1:80 to 1:320 range. There is very little published data in the research literature on typical ANA titers for various SSc-specific antibodies. U1-RNP antibodies, which are associated with Mixed Connective Tissue Disease (MCTD) are known to typically have high ANA titers. All six patients in a recent study of six patients with rare Th/To antibodies (Muller et al. 2020) had ANA titers of 1:1280. SSc patients with Th/To antibodies are generally classified as limited SSc, although with a different overall disease profile than patients with centromere antibodies. High and Low Titer Antibodies A recent, informal self-report survey of 144 SSc patients 24 conducted by this author showed that typical ANA titers for patients with centromere antibodies (n=86) were 1:1280 level or higher and patients with ScI-70 antibodies (n=29) had much lower ANA titers, mostly 1:320 or lower. We did not have a large enough number of respondents with RNA Polymerase III antibodies (n=14) to reach statistical significance, but average ANA titers for patients with this antibody were generally between the titer levels of patients with ScI-70 and centromere antibodies in this informal survey. The key takeaway here is that while it does appear to be the case that some SSc-specific antibodies tend to have higher or lower average ANA titers, the variability is very high for all antibodies and generally has little correlation with disease activity or severity. This is also a common post. There are a couple of reasons why this can occur. In most cases, it is due to a change in testing method. Let's assume that the previous ANA test was done by IFA and you are positive for RNA polymerase III. If your new doctor re-runs an ANA test without specifying ANA/IFA, there is a very good chance that an ANA screening panel will be done by one of the solid "I'm confused. My ANA has always been phase assay testing methods that test for a limited number of positive. My new doctor re-ran an ANA test 25 antibodies. In that case, the ANA panel result will be negative and now it is negative" since it is very unlikely that RNA polymerase III will be included in the ANA screening panel. A less frequent occurrence can occur with low positive ANA titers such as 1:80 or 1:40. Some labs use a 1:40 cutoff for a low positive and others a 1:80 cutoff. If your previous ANA titer was 1:40 and you retest at a lab with a 1:80 cutoff and ended with the same 1:40 titer, the new lab would report this as a "negative" result. Several recent studies (Schneeberger 2013, Hudson 2014, Salazar 2015) have documented that about 5% of patients with formally diagnosed systemic sclerosis are ANA negative when testing is done by IFA. The question is why. Part IV: ANA Negative SSc 26

A 2013 paper (Mehra) indicates that in some cases, patients with Ku, PM-Scl, and even RNA Polyerase antibodies may be ANA/IFA negative. In some cases, this is dependent on the particular HEP-Part IV: ANA Negative SSc (cont) 2 substrate tissue used, and testing at a different lab that uses a different substrate may yield a positive ANA/IFA result. 27 sometimes be missing on a particular ANA/IFA test RuvBL1/2 antibodies are a newly identified SSc-specific antibody that are present in about 2% of SSc-patients. It is classified as an overlap antibody. A recent paper (Pauling 2018) notes that Part IV: ANA Negative SSc (cont) RuvBL1/2 antibodies can be ANA/IFA negative. Other rare antibodies such as U11/U12-RNP can also be ANA/IFA negative. 28 • RuvBL1/2 and U11/U12-RNP antibodies are not always ANA/IFA positive Another newly identified SSc-specific antibody, abbreviated eIF2B, is not an anti-nuclear antibody, but rather an anti-Part IV: ANA Negative SSc (cont) cytoplasmic antibody. Anti-cytoplasmic antibodies don't attack the nucleus of a cell and while detectable in an ANA/IFA test, some labs do not report cytoplasmic staining. It is important to note that 29 currently not all researchers accept eIF2B as a systemic sclerosis specific antibody. Also, there is no published data as to what the New eIF2B antibody is anti-cytoplasmic, not antioverall prevalence rate is for eIF2B antibodies, although in a 2018 nuclear study (Pauling), 7 out of 128 ANA/IFA negative patients with a formal SSc diagnosis had eIF2B antibodies. A false positive lab result means that the test result is positive when it should have been negative. While there are occasionally false positive (or false negative) testing issues with many lab tests, according to recent research, ScI-70 antibody testing appears to have a major problem with false positive results. 30 Part V: The Scl-70 False Positive Problem Historically, ScI-70 antibody testing was mostly done by a technique called double immunodiffusion, usually abbreviated ID. Scl-70 False Positive Problem (cont) This is considered to be the most reliable ScI-70 antibody testing method (Domsic and Medsger 2016). However, ID testing is time- Scl-70 testing methods consuming and expensive. Because of this, almost all labs have 31 switched to testing for ScI-70 antibodies using one of the solid phase assays such as ELISA, Multiplex, or LIA.



While Scl-70 antibodies are considered to be highly specific to systemic sclerosis (SSc), a number of studies (Meier and Mikuls 2011, Gussin 2001, Mahler 2010, Bizzaro 1998) have documented that patients without a clear diagnosis of SSc often test positive for Scl-70 antibodies when testing is done by either ELISA or Multiplex testing. This is sometimes seen in patients with a diagnosis of lupus. Notably, almost all of these positive Scl-70 results are low positives.

ScI-70 False Positive Problem (cont)

• ScI-70 Testing Methods
• ScI-70 antibodies highly specific to SSc, but...
• Repeat testing is not the answer

Some clinicians who are aware of the ScI-70 false positive problem order repeat testing at the same lab, thinking that this is just a testing precision issue. Unfortunately, this does not appear to be the case and repeat testing at the same lab is likely to continue to yield false positive results.

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Two recent papers have shed much more light on this important issue. A 2018 paper (Homer et al.) compared Scl-70 testing on a group of 129 patients by three different testing methods: Multiplex, ELISA, and immunodiffusion. All of the patients in this group were positive by Multiplex testing but only 26% had a formal diagnosis of SSc. If you also added ELISA testing, only 51 patients were positive by both methods and out of these 51, 45% of these patients had a formal SSc diagnosis. If you added ID testing, only 21 out of the original 129 patients were positive by all three methods, but more importantly, more than 90% of this group were formally diagnosed with SSc, suggesting that ID testing is significantly more specific clinically than either of the other two testing methods.

One interesting finding of this study was that ELISA results that were five times higher than the normal range cutoff were highly correlated with a formal diagnosis of SSc. Unfortunately, this study did not look at Multiplex results to see if there was a similar pattern.

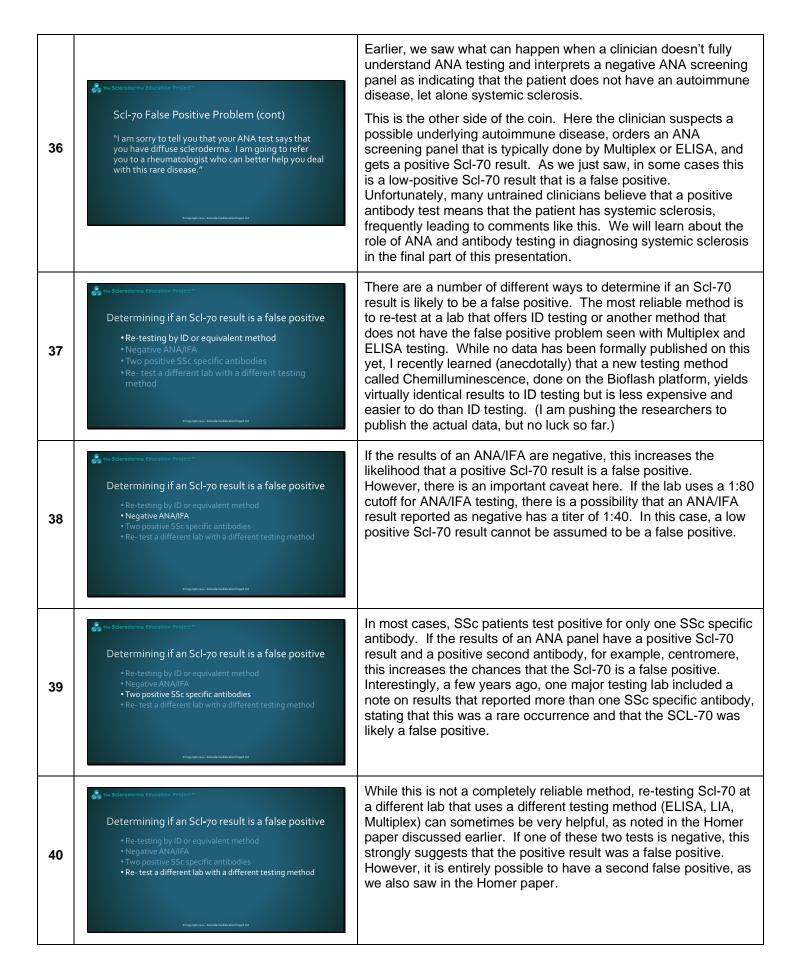
Scl-70 False Positive Problem (cont)

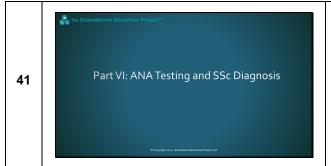
Figure 2- Probability of the as function of 30-70 construction

Output

A second recent study (Tebo et al. 2019) looked at 46 patients who tested positive for ScI-70 antibodies by Multiplex testing and correlated the value of the test result against a diagnosis of SSc. Out of the 46 patients with a positive Multiplex ScI-70 antibody result, only 17 (37%) had a formal diagnosis of SSc. More importantly, only highly elevated results (200 AU/ml) were significantly correlated with this diagnosis. This is about five times the normal range cutoff, similar to the findings in the Homer study for ELISA testing.

If you look at both studies and just consider Multiplex testing, between 63% and 75% of patients who were positive by Multiplex testing were negative by the "gold standard" ID testing method. This suggests that the false positive ScI-70 testing problem appears to be very common in routine clinical practice. However, it is also important to point out that since longitudinal follow up studies have not been done, there is no way to know if some of these patients will eventually test positive using ID and other similar testing methodologies.





Formally diagnosing a patient with systemic sclerosis is often very challenging, even for an experienced scleroderma specialist. In the final part of this talk, I want to briefly discuss where ANA testing fits into clinical diagnosis.

The Scleroderma Education Project\*\*

2013 Classification Criteria for SSc

| Section |

In 2013, the American College of Rheumatology and the European League Against Rheumatism approved a new set of classification criteria for systemic sclerosis, replacing the older 1980 classification criteria. These classification criteria use a nine-point scale, with clear indications as to what signs and symptoms count towards the nine-point total. As you can see in this chart, the three most common scleroderma specific antibodies are included: centromere, Scl-70, and RNA polymerase III, but none of the rarer ones are.

If you look closely, several common symptoms are missing from this table, for example GI symptoms such as GERD, difficulty swallowing, or small intestinal bacterial overgrowth. Also, these three antibodies only account for 60 to 70% of systemic sclerosis patients. So, why are these common symptoms missing from this chart?

It turns out that the abstract for the paper that introduced this new point chart omitted a very important point, and as a result, this chart is often misused by many clinicians who are not SSc experts. If you read the actual paper (which in practice few clinicians do), you quickly discover that the intended purpose of this classification chart is for selection of patients for formal research studies, NOT for formal clinical diagnosis. While the point chart can be used as part of clinical diagnosis, the clinician is supposed to also factor in additional signs and symptoms, for example, typical GI symptoms, tendon friction rubs, and even very specific symptoms such as scleroderma renal crisis.

To bring us back to the main topic of this talk, ANA and antibodies in systemic sclerosis, consider a patient who has Raynaud's, puffy fingers, abnormal nailfold capillaries, GERD, joint pain, and severe fatigue, but has a rare antibody such as U3-RNP instead of one of the three antibodies included in this chart. Despite having many systemic specific symptoms and an antibody that is disease specific, they only would have a total of seven points on this chart. A scleroderma specialist would almost certainly diagnose the patient with SSc given all these signs and symptoms. Unfortunately, in practice, many untrained clinicians rigidly look for a total of nine points and if not there, refuse to formally diagnose the patient with SSc, often giving the patient a tentative diagnosis such as undifferentiated connective tissue disease. This often leads to significant delays in correctly diagnosing the patient with systemic sclerosis, potentially delaying treatments that could slow down the course of the disease and failing to appropriately monitor for potential risk factors when following the patient.

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